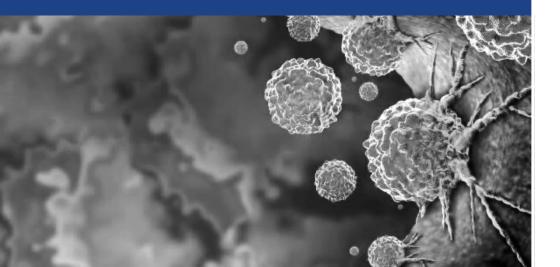


# C ImmunityBio®



ANKTIVA Synergizes with T Cell Activity of BCG in Both the Naïve and Unresponsive Setting by Activating NK Cells, Interferon Gamma, and Driving Memory CD8+ Killer T Cells

May 2024

## Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease

### Table of Contents

- I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease
- II. Mechanism of Action of ANKTIVA, Activating and Proliferating Natural Killer Cells and Interferon Gamma Which Rescues T Cells in BCG Relapsed NMIBC and Checkpoint Failures: Revealing How NK Cells and Interferon Rescue and Restore Cold Tumors to Hot and Re-Activates Killer and Memory T Cells for All Tumors
- III. QUILT-2.005: Phase I Complete Response Data in CIS and Papillary BCG Naïve Disease
- **IV. QUILT-205**: Duration of Complete Response ≥8 Years in Phase I (Patient Follow-up)
- V. QUILT-2.005: Randomized Control Pivotal Trial of BCG versus BCG + ANKTIVA in BCG Naïve NMIBC CIS & Papillary: FDA Request Interim Analysis
- VI. QUILT-3.032: BCG Unresponsive NMIBC Confirming Mechanism of Action of ANKTIVA with Durable Complete Response
- VII. Safety of ANKTIVA + BCG Consistent with Adverse Events of BCG Alone

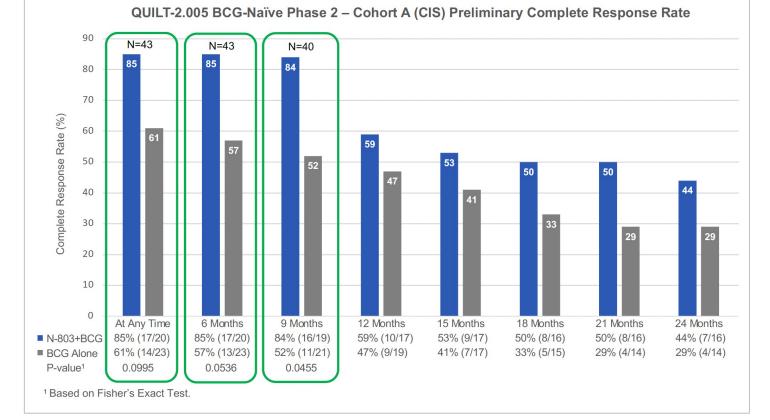
## Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease

- QUILT 2.005 is a randomized controlled trial examining complete response and disease free status in BCG Naïve NMIBC patients receiving standard of care BCG alone versus BCG plus ANKTIVA.
- Primary endpoints was CR in CIS and disease free status in Papillary with duration of response as secondary endpoints.
- In Oct 2019, to determine that the contribution of effect in enhanced efficacy outcome in CIS and Papillary disease was due to ANKTIVA, the FDA requested that Sponsor unblind the ongoing QUILT 2.005 and perform an interim analysis to confirm that the enhanced effect was based on ANKTIVA and not BCG.
- The data below demonstrates that when ANKTIVA is combined with BCG, the complete response is increased and importantly, even though the numbers of evaluable patients during this interim analysis was n=43, by nine (9) months, statistical significance was achieved when the duration of complete response was compared between BCG alone (52% [11/21] of evaluable patients) versus (84% [16/19] of evaluable patients) P-value 0.0455.

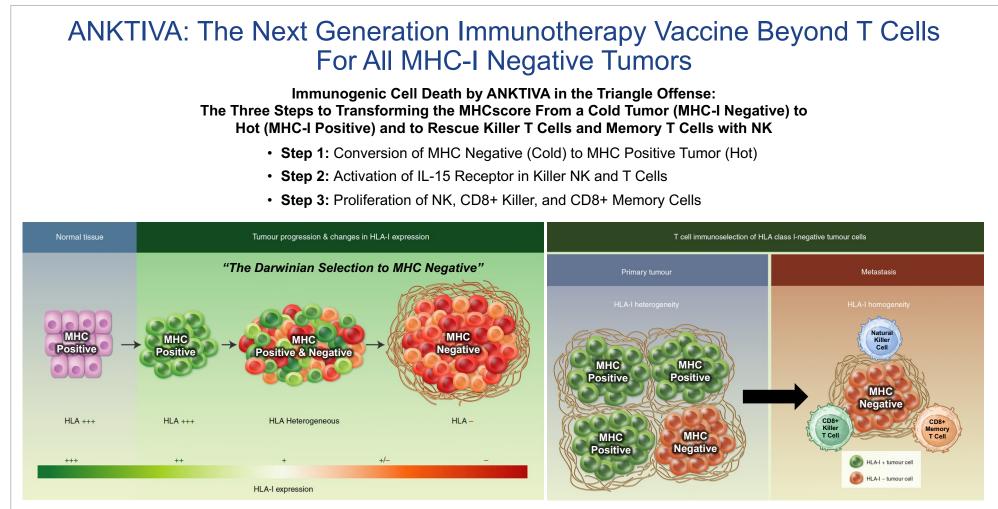
## Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease

- The safety analysis of both QUILT 2.005 and QUILT 3.032 demonstrated no serious adverse events that were not consistent with those seen with BCG alone
- The figure (right) confirms the contribution of effect of ANKTIVA and provides support that combining BCG with ANKTIVA in the Naïve setting results in a clinically meaningful benefit of complete response, duration of complete response without additional adverse events.

Efficacy Results in CIS (QUILT-2.005) Phase 2 (Unplanned Interim Analysis, as Requested by the Agency) Improvement of CR Rate Over Time and Contribution of Effect of N-803 Inducing Memory T Cells



II. Mechanism of Action of ANKTIVA, Activating and Proliferating Natural Killer Cells and Interferon Gamma Which Rescues T Cells in BCG Relapsed NMIBC and Checkpoint Failures: Revealing How NK Cells and Interferon Rescue and Restore Cold Tumors to Hot and Re-Activates Killer and Memory T Cells for All Tumors



#### Transforming a Cold Tumor (MHC-Negative) to Hot (MHC-Positive) with ANKTIVA for Durable Complete Response

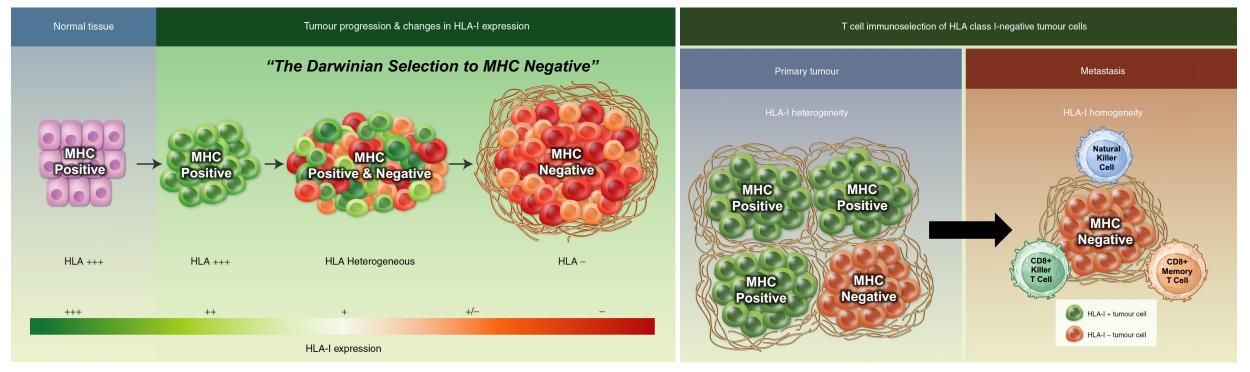
Modified From: Garrido F, Aptsiauri N. Cancer immune escape: MHC expression in primary tumours versus metastases. Immunology. 2019 Dec;158(4):255-266. doi: 10.1111/imm.13114. Epub 2019 Oct 1. PMID: 31509607; PMCID: PMC6856929.

- II. Mechanism of Action of ANKTIVA, Activating and Proliferating Natural Killer Cells and Interferon Gamma Which Rescues T Cells in BCG Relapsed NMIBC and Checkpoint Failures: Revealing How NK Cells and Interferon Rescue and Restore Cold Tumors to Hot and Re-Activates Killer and Memory T Cells for All Tumors
- T cell tumor evasion resulting in BCG and checkpoint inhibitor relapse
- Mechanism by which the tumor cells evades CD8+ T cells by retracting MHC-I, rendering the T cell inactive
- Mechanism to restore the T cell ligand (MHC-I) on the tumor cell by interferon gamma (IFN-y)
- Mechanism by which NK cells target MHC-I negative tumor cells
- Mechanism by which NK cells rescue and restore CD8+ killer and memory T cells by IFN-y stimulation
- Activated NK cells, CD8+ killer and memory T cells express IL-15 receptor in order to proliferate
- ANKTIVA (IL-15 Receptor Alpha / IL-15) mimics a dendritic cell and binds to the activated NK, CD8+ killer and memory T cells to drive proliferation and achieve a durable complete response for 47+ months and ongoing
- Introducing the MHCscore and the concept of ANKTIVA converting a cold tumor (MHC-Negative) to a hot tumor (MHC-Positive) harnessing the triangle offense of NK, Killer T and Memory T cells:
  - Rescuing T cells with NK cells attacking tumor cells which are MHC-I negative
  - Converting MHC-I negative tumors to MHC-I positive tumors
  - Activating IL-15 receptors on all the killer and memory cells resulting in long-term duration of complete response

### ANKTIVA: The Next Generation Immunotherapy Vaccine Beyond T Cells For All MHC-I Negative Tumors

Immunogenic Cell Death by ANKTIVA in the Triangle Offense: The Three Steps to Transforming the MHCscore From a Cold Tumor (MHC-I Negative) to Hot (MHC-I Positive) and to Rescue Killer T Cells and Memory T Cells with NK

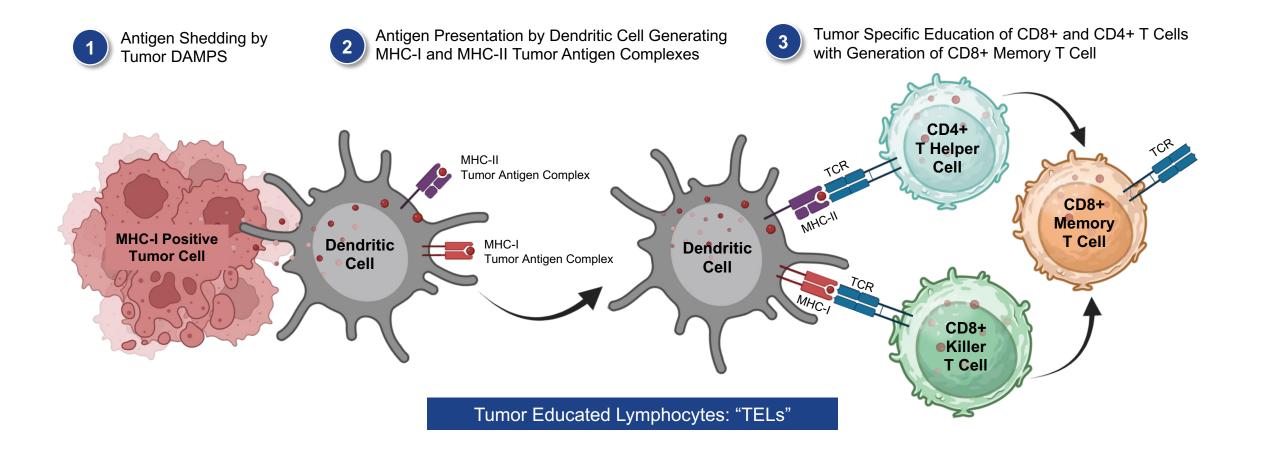
- Step 1: Conversion of MHC Negative (Cold) to MHC Positive Tumor (Hot)
- Step 2: Activation of IL-15 Receptor in Killer NK and T Cells
- Step 3: Proliferation of NK, CD8+ Killer, and CD8+ Memory Cells



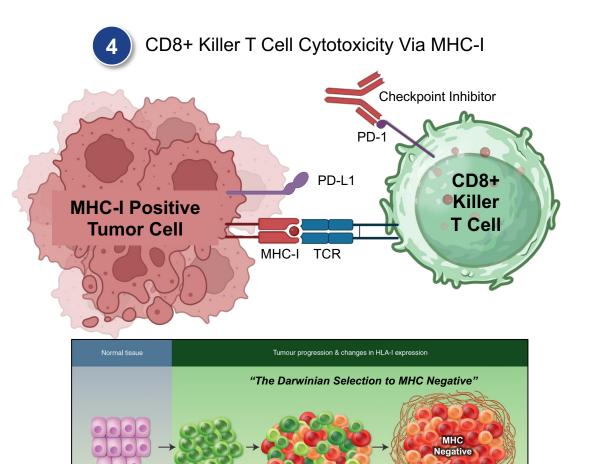
### Transforming a Cold Tumor (MHC-Negative) to Hot (MHC-Positive) with ANKTIVA for Durable Complete Response

Modified From: Garrido F, Aptsiauri N. Cancer immune escape: MHC expression in primary tumours versus metastases. Immunology. 2019 Dec;158(4):255-266. doi: 10.1111/imm.13114. Epub 2019 Oct 1. PMID: 31509607; PMCID: PMC6856929.

# Dendritic Cell Activation of CD8+ Killer & CD8+ Memory T Cells Through MHC-I



## Checkpoint Inhibitor Failure: Tumor Evasion by MHC-I Positive Converting to MHC-I Negative



**HLA Heterogeneous** 

HLA-I expression

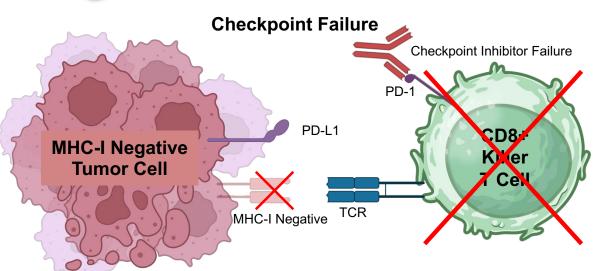
HLA

HLA +++

HLA +++



Tumor Evasion to T Cells: MHC-I Negative and Acquired Resistance to Checkpoint Inhibitors



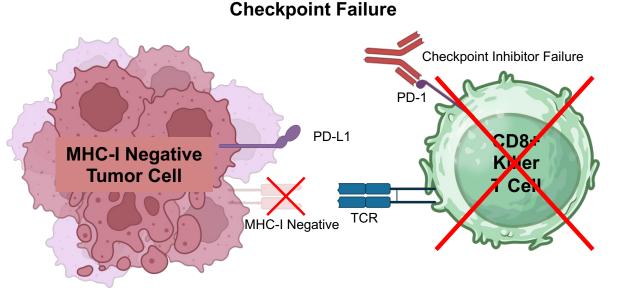
MHC-I Negative as a Universal Target for All Checkpoint Failures Across all Tumor Types

Standard of Care Overall Survival ~7 Months

# MHC-I Negative Tumor Cells Are a Target for Natural Killer Cells Across All MHC-I Negative Tumor Types



Tumor Evasion to T Cells: MHC-I Negative and Acquired Resistance to Checkpoint Inhibitors

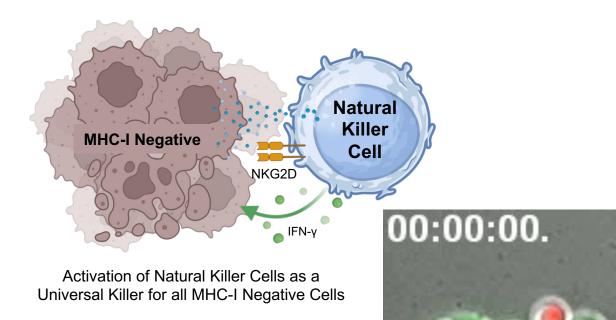


MHC-I Negative as a Universal Target for All Checkpoint Failures Across all Tumor Types

Standard of Care Overall Survival ~7 Months



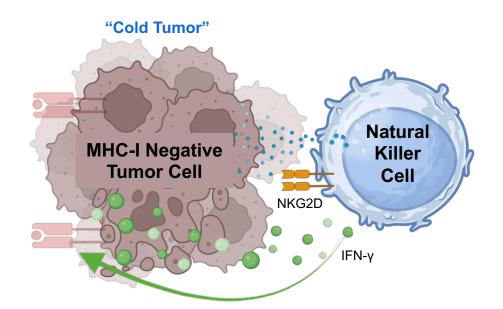
MHC-I Negative Tumor Cell is a Target for Natural Killer Cells (Missing-Self)



Interferon-Gamma (IFN-y) Upregulates MHC-I and Reactivates T Cells Conversion of MHC-I Negative (Cold Tumor) to MHC-I Positive (Hot Tumor)



Upregulation of MHC-I in Tumor and Rescue of Checkpoint Inhibitors

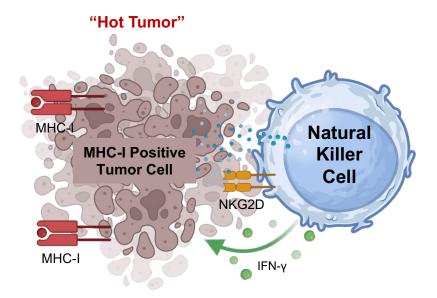


Natural Killer Cells, a Universal Killer for all MHC-I Negative Tumor Cells.

IFNy Reactivates MHC-I Expression in Tumor Cells



Interferon Gamma (IFN-y) Reverses the Darwinian Selection from MHC Negative to MHC Positive

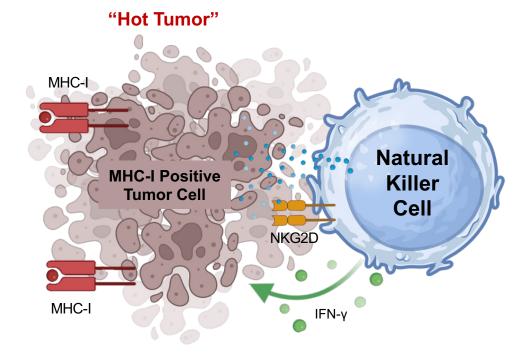


CD8+ Killer T Cell and CD8+ Memory Killer T Cells Re-Engages Tumor with Tumor Specific T Cells

# Rescue of Killer T Cells and Checkpoint Inhibitor With Reversal of MHC-I Negative to MHC-I Positive

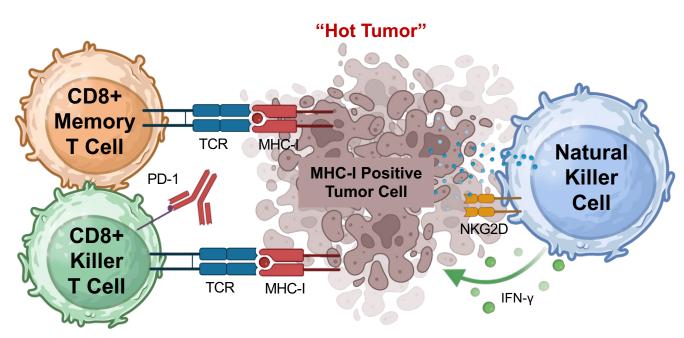


Interferon Gamma (IFN-y) Reverses the Darwinian Selection from MHC Negative to MHC Positive



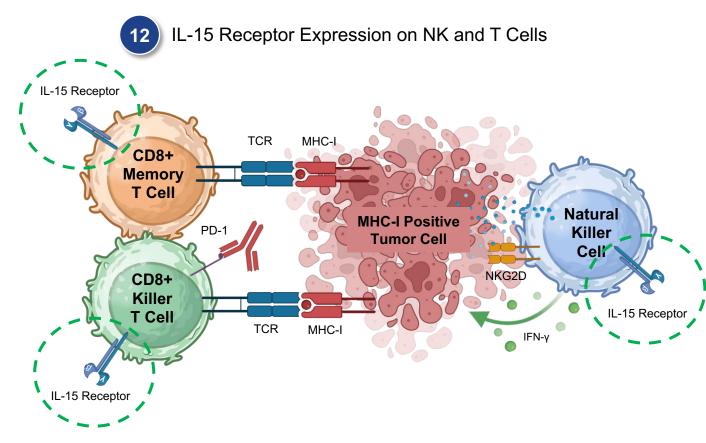


CD8+ Killer T Cell and CD8+ Memory T Cell Re-Activated and Checkpoint Inhibitor Rescued



CD8+ Killer T Cell and CD8+ Memory Killer T Cells Re-Engages Tumor with Tumor Specific T Cells CD8+ Killer T Cell and CD8+ Memory Killer T Cells Re-Engages Tumor with Tumor Specific T Cells

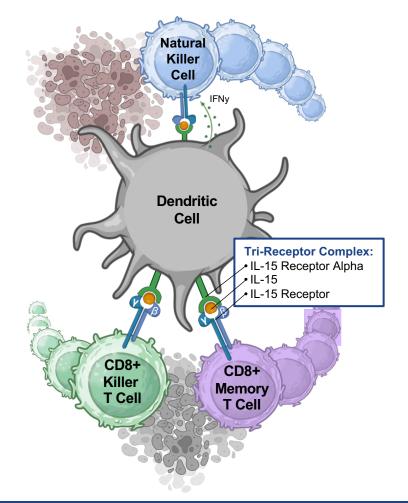
# Immune Killer Cells Express IL-15 Receptor to Generate Proliferation by Cell-to-Cell Contact with Dendritic Cells



CD8+ T Cells and Natural Killer Cells Express IL-15 Receptor Which Activates and Proliferates Killer T and NK Cells When Bound with IL-15 Receptor Alpha / IL-15 From an Activated Dendritic Cell



IL-15 Receptor Alpha / IL-15 From a Dendritic Cell Proliferates NK Cell and Killer T Cells via IL-15 Receptor

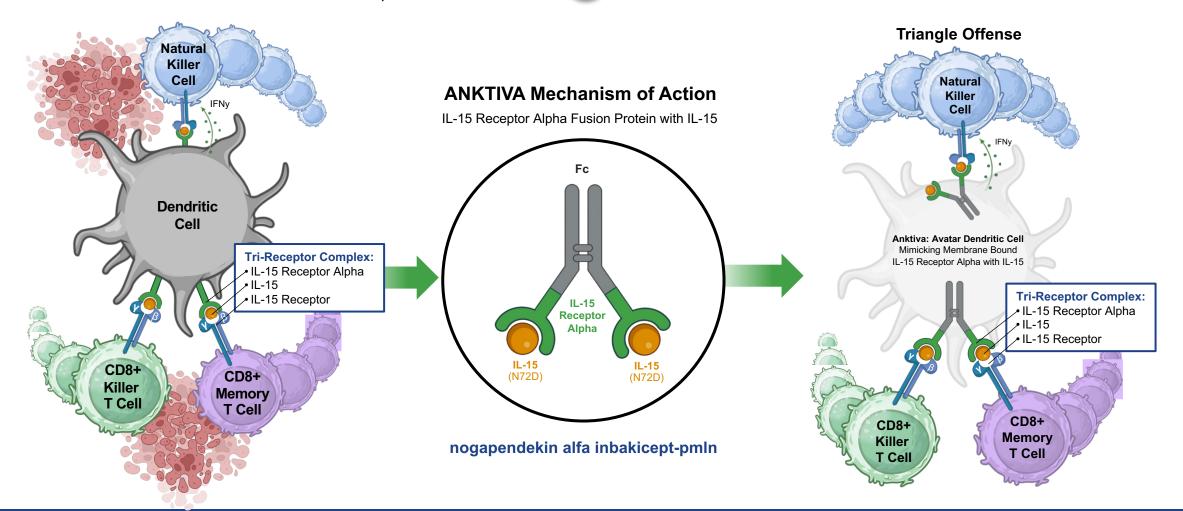


# ANKTIVA: IL-15 Receptor Alpha / IL-15 Mimics a Dendritic Cell to Induce Proliferation of Immune Killer Cells

15



IL-15 Receptor Alpha / IL-15 From a Dendritic Cell Proliferates NK Cell and Killer T Cells via IL-15 Receptor ANKTIVA Mechanism of Action Mimicking the Activity of an Activated Dendritic Cell to Proliferate Killer Cells with the Power of IL-15 Receptor Alpha / IL-15

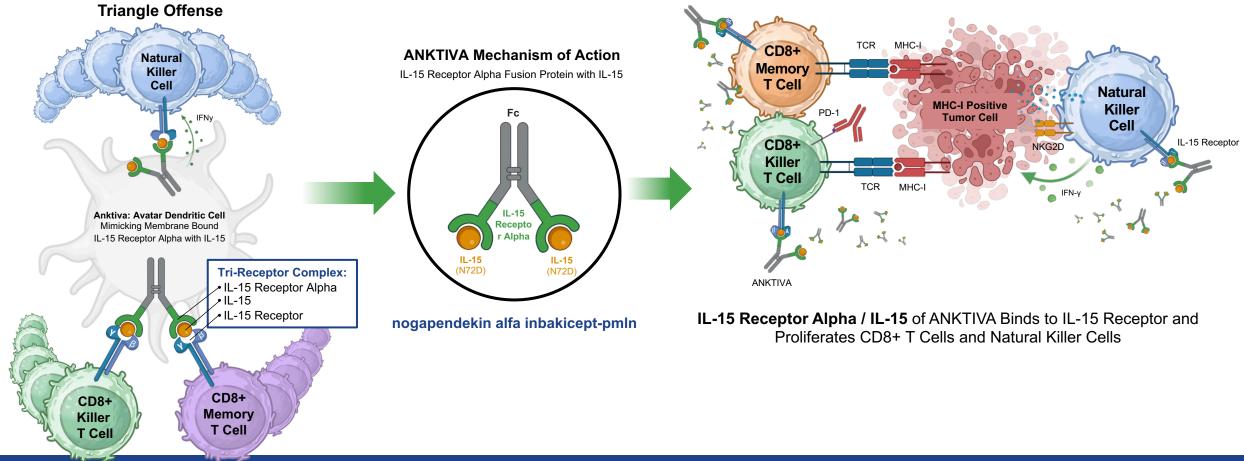


# Introducing The **MHCscore** and The Concept of ANKTIVA Converting a Cold Tumor (MHC-Negative) to a Hot Tumor (MHC-Positive) Harnessing The Triangle Offense of NK, Killer T and Memory T Cells:

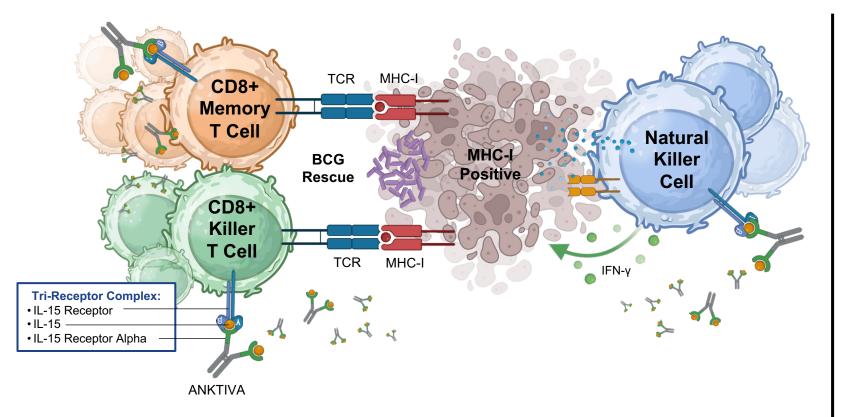
- Rescuing T cells with NK cells attacking tumor cells which are MHC-I negative
- Converting MHC-I negative tumors to MHC-I positive tumors
- Activating IL-15 receptors on all the killer and memory cells resulting in long-term duration of complete response
- 16 ANKTIVA Mechanism of Action Mimicking the Activity of an Activated Dendritic Cell to Proliferate Killer Cells

17

Activation by ANKTIVA (IL-15 Receptor Alpha / IL-15) of CD8+ Killer T Cells, CD8+ Memory T Cells, and Natural Killer Cells

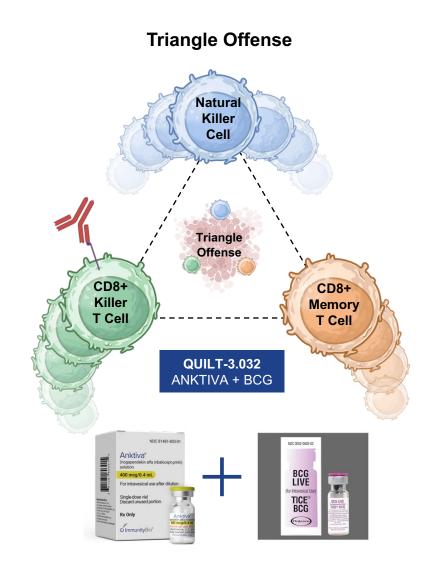


# ANKTIVA: Rescue of BCG and T Cells in NMIBC



Immunogenic Cell Death by ANKTIVA in the Triangle Offense: The Three Steps to Transforming the MHCscore<sup>™</sup>

- Step 1: Conversion of MHC Negative (Cold) to MHC Positive Tumor (Hot)
- Step 2: Activation of IL-15 Receptor in Killer NK and T Cells
- Step 3: Proliferation of NK, CD8+ Killer, and CD8+ Memory Cells



Long Term, Cancer Free Overall Survival BCG Unresponsive in NMIBC: 47+ Months and Ongoing

## I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease

### Table of Contents

- I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease
- II. Mechanism of Action of ANKTIVA, Activating and Proliferating Natural Killer Cells and Interferon Gamma Which Rescues T Cells in BCG Relapsed NMIBC and Checkpoint Failures: Revealing How NK Cells and Interferon Rescue and Restore Cold Tumors to Hot and Re-Activates Killer and Memory T Cells for All Tumors

### III. QUILT-2.005: Phase I Complete Response Data in CIS and Papillary BCG Naïve Disease

- IV. QUILT-2.005: Duration of Complete Response ≥8 Years in Phase I (Patient Follow-up
- V. QUILT-2.005: Randomized Control Pivotal Trial of BCG versus BCG + ANKTIVA in BCG Naïve NMIBC CIS & Papillary: FDA Request Interim Analysis
- VI. QUILT-3.032: BCG Unresponsive NMIBC Confirming Mechanism of Action of ANKTIVA with Durable Complete Response
- VII. Safety of ANKTIVA + BCG Consistent with Adverse Events of BCG Alone

# QUILT-2.005: Phase I Complete Response Data in CIS and Papillary BCG Naïve Disease

ONCOIMMUNOLOGY Taylor & Francis 2021, VOL. 10, NO. 1, e1912885 (7 pages) Taylor & Francis Group https://doi.org/10.1080/2162402X.2021.1912885 OPEN ACCESS Check for updates **ORIGINAL RESEARCH** Safety, Tolerability, and Long-Term Clinical Outcomes of an IL-15 analogue (N-803) Admixed with Bacillus Calmette-Guérin (BCG) for the Treatment of Bladder Cancer Charles J. Rosser 🕞<sup>a</sup>, Sergei Tikhonenkov<sup>a</sup>, Jeffrey W. Nix<sup>b</sup>, Owen T.M. Chan<sup>a</sup>, Irina Ianculescu<sup>c</sup>, Sandeep Reddy<sup>d,c</sup>, and Patrick Soon-Shiong<sup>d</sup> <sup>a</sup>Clinical & Translational Research Program, University of Hawaii Cancer Center, Honolulu, Hawaii; <sup>b</sup>Department of Urology, University of Alabama, Birmingham, Alabama; ImmunityBio, Inc., Culver City, California; MantHealth Inc, Culver City, California **ARTICLE HISTORY** ABSTRACT Intravesical BCG is active against non-muscle invasive bladder cancer (NMIBC), but bladder cancer will Received 3 March 2021 Revised 31 March 2021 recur and even progress in a significant number of patients. To improve the response rate, N-803, an IL-15 Accepted 31 March 2021 superagonist was administered in combination with BCG. To evaluate the safety and efficacy associated with the use of intravesical N-803 and BCG in patients with BCG-naïve NMIBC. This phase 1b clinical trial **KEYWORDS** used a 3 + 3 dose-escalation design. Participants were enrolled from July 2014 and July 2015, with follow-Non-muscle invasive bladder up and analyses through January 15, 2021. Eligibility criteria included histologically confirmed non-muscle cancer; IL15; BCG invasive urothelial carcinoma of intermediate or high risk who had not received prior treatment with intravesical BCG (ie, BCG-naïve). All 9 participants met the eligibility criteria, received treatment according to the protocol, and were included in all analyses. Treatment was done once weekly for 6 consecutive weeks with bladder infusion of the standard dose of BCG, 50 mg/instillation, in combination with increasing doses of N-803 (100, 200, or 400 µg N-803 per instillation). No DLTs were noted in any of the dose cohorts. All adverse events (AEs) were manageable and less than grade 3. During the 2-year followup, all 9 participants were disease free. Furthermore, 6 y after treatment, all 9 participants (100%) were disease free with no evidence of disease progression and an intact bladder. This phase 1b trial found the combination of intravesical N-803 and BCG to be associated with modest toxic effects, low immunogenicity, and substantial prolonged antitumoral activity; phase 2 trials are in progress.

### Complete Response in CIS and Papillary BCG Naïve NMIBC Patients with Duration of Complete Response and Disease Free in 9 out of 9 (100%) at Time of Publication with Follow-Up for 6 Years After Treatment

	Dose		CIS	Response Assessments							
	(intravesicular instillation)	Patient	Papillary	W12	6M	9M	12M	15M	18M	21M	24M
		1	Pap T1	NR	NR	NR	NR	NR	NR	NR	NR
7 pages) Laylor & Francis Laylor & Francis Laylor & Francis Laylor & Francis Systex Francis B OPEN ACCESS  Conex torquaters	100 µg	2	Рар Та	NR	NR	NR	NR	NR	ND	NR	NR
ity, and Long-Term Clinical Outcomes of an IL-15 analogue (N-803) acillus Calmette-Guérin (BCG) for the Treatment of Bladder Cancer ergei Tikhonenkov <sup>a</sup> , Jeffrey W. Nix <sup>a</sup> , Owen T.M. Chan <sup>a</sup> , Irina Ianculescu <sup>c</sup> , Sandeep Reddy <sup>ds</sup> , ng <sup>d</sup>		3	Pap T1	NR	NR	NR	NR	NR	NR	NR	NR
earch Program, University of Hawaii Cancer Center, Honolulu, Hawaii; "Department of Urology, University of Alabama, unityBio, Inc., Culver City, California; "NantHealth Inc, Culver City, California we against non-muscle invasive bladder cancer (NMIBC), but bladder cancer will Received 3 March 2021 in a significant number of natients. To improve the response rate. Na013, an UL-15.		4	Pap T1	IC	NR	NR	NR	NR	ND	NR	NR
Instered in combination with BCG. To evaluate the safety and efficacy associated a Accepted 31 Much 2021 scial Ne03 and BCG in patients with BCG-anive NMBCC. This phase 1b chincal trial ation design. Participants were enrolled from July 2014 and July 2015, with follow. Non-muccle insubies builded in included histologically confirmed non-muccle incoma of intermediate or high risk who had not received prior treatment with Farave). All 92 participants met the diligibility criteria received tratement according		5	CIS	No CR	IC	IC	CR	CR	CR	CR	CR
rere included in all analyses. Treatment was done once weekly for 6 consecutive fusion of the standard dose of BCG, 50 mg/institution, in combination with 803 (100, 200, or 400 µg N=803 per instillation). No DLTs were noted in any of the sevents (AE) were manageable and less than grade 3. Jouring the 2-year follow- ere disease free. Furthermore, 6 y after treatment, all 9 participants (100%) were idence of disease progression and an intact bladder. This phase 1b trial found the sicil N4803 and BCG to be associated with modest toxic effects, low immunogeni-		6	Pap T1	NR	NR	NR	NR	NR	NR	NR	NR
olonged antitumoral activity; phase 2 trials are in progress.	400 µg	7	Pap T1	NR	NR	NR	NR	NR	NR	NR	NR
		8	CIS	CR	CR	CR	CR	CR	CR	CR	CR
		9	Рар Та	NR	NR	NR	NR	NR	NR	NR	NR
	NR - no requirence NR - r	vot dono JC - Inor									

NR = no recurrence, ND = not done, IC = Inconclusive

2021, VOL. 10, NO. 1, e1912885 (7 pag https://doi.org/10.1080/2162402X.202 ORIGINAL RESEARCH Safety, Tolerability Admixed with Baci Charles J. Rosser 6. Serg and Patrick Soon-Shiong Clinical & Translational Research Birmingham, Alabama; 'Immunit ABSTRACT Intravesical BCG is active a recur and even progress in superagonist was administ with the use of intravesical used a 3 + 3 dose-escalatio up and analyses through Ja invasive urothelial carcino ntravesical BCG (ie, BCG-n to the protocol, and were weeks with bladder infu increasing doses of N-803 dose cohorts. All adverse e up, all 9 participants were lisease free with no evide combination of intravesical city, and substantial prolor

## I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease

### Table of Contents

- I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease
- II. Mechanism of Action of ANKTIVA, Activating and Proliferating Natural Killer Cells and Interferon Gamma Which Rescues T Cells in BCG Relapsed NMIBC and Checkpoint Failures: Revealing How NK Cells and Interferon Rescue and Restore Cold Tumors to Hot and Re-Activates Killer and Memory T Cells for All Tumors
- III. QUILT-2.005: Phase I Complete Response Data in CIS and Papillary BCG Naïve Disease

### IV. QUILT-205: Duration of Complete Response ≥8 Years in Phase I (Patient Follow-up)

- V. QUILT-2.005: Randomized Control Pivotal Trial of BCG versus BCG + ANKTIVA in BCG Naïve NMIBC CIS & Papillary: FDA Request Interim Analysis
- VI. QUILT-3.032: BCG Unresponsive NMIBC Confirming Mechanism of Action of ANKTIVA with Durable Complete Response
- VII. Safety of ANKTIVA + BCG Consistent with Adverse Events of BCG Alone

# QUILT 205: Long Term Follow-Up Beyond 6 Years in 6 out of 9 Evaluable Patients

### **QUILT 205 Findings**

- 6 out of 9 were evaluable in 2023
- 2 subjects died of natural causes independent of bladder cancer
- 1 lost to follow up
- Quality of life high in all 6 subjects
- All 6 out of 6 (100%) remain in complete response (CIS) or disease free (Papillary) for >8.5 years
- All 6 patients avoided cystectomy for >8.5 years

Dose			Response Assessments							
(intravesicular instillation)	Patient	Papillary	W12	6M	9M	12M	15M	18M	21M	24M
	1	Pap T1	NR	NR	NR	NR	NR	NR	NR	NR
100 µg	2	Рар Та	NR	NR	NR	NR	NR	ND	NR	NR
	3	Pap T1	NR	NR	NR	NR	NR	NR	NR	NR
200 µg	4	Pap T1	IC	NR	NR	NR	NR	ND	NR	NR
	5	CIS	No CR	IC	IC	CR	CR	CR	CR	CR
	6	Pap T1	NR	NR	NR	NR	NR	NR	NR	NR
400 µg	7	Pap T1	NR	NR	NR	NR	NR	NR	NR	NR
	8	CIS	CR	CR	CR	CR	CR	CR	CR	CR
	9	Рар Та	NR	NR	NR	NR	NR	NR	NR	NR

NR = no recurrence, ND = not done, IC = Inconclusive

9 Year Follow-Up

**Conclusion:** ANKTIVA + BCG in BCG Naïve Patients Results in Durable Complete Response with Quality of Life and Adverse Events Consistent with BCG Alone

## I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease

### Table of Contents

- I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease
- II. Mechanism of Action of ANKTIVA, Activating and Proliferating Natural Killer Cells and Interferon Gamma Which Rescues T Cells in BCG Relapsed NMIBC and Checkpoint Failures: Revealing How NK Cells and Interferon Rescue and Restore Cold Tumors to Hot and Re-Activates Killer and Memory T Cells for All Tumors
- III. QUILT-2.005: Phase I Complete Response Data in CIS and Papillary BCG Naïve Disease
- IV. QUILT-205: Duration of Complete Response ≥8 Years in Phase I (Patient Follow-up)
- V. QUILT-2.005: Randomized Control Pivotal Trial of BCG versus BCG + ANKTIVA in BCG Naïve NMIBC CIS & Papillary: FDA Request Interim Analysis
- VI. QUILT-3.032: BCG Unresponsive NMIBC Confirming Mechanism of Action of ANKTIVA with Durable Complete Response
- VII. Safety of ANKTIVA + BCG Consistent with Adverse Events of BCG Alone

QUILT-2.005: Randomized Control Pivotal Trial of BCG versus BCG + ANKTIVA in BCG Naïve NMIBC CIS & Papillary: FDA Request Interim Analysis

# Oct 2019, the FDA requested a interim analysis of QUILT-2.005 to confirm contribution of effect of ANKTIVA

### Efficacy Results in CIS (QUILT-2.005) Phase 1 and Phase 2 (Unplanned Interim Analysis, as Requested by the Agency) October 2019

#### 3.1. Efficacy in Patients with BCG-Naïve NMIBC

#### 3.1.1. Phase 1b BCG-Naïve NMIBC (QUILT-2.005)

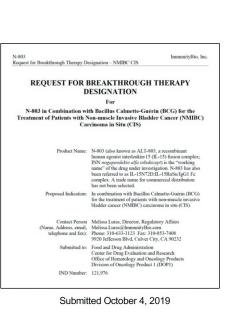
Appendix 4 show the study design and summary of patient response data during the 24 months of the first phase of QUILT 2.005 (refer to IND 121,976, sequence 0039, dated 14 May 2019, for the full QUILT-2.005 Phase 1b Clinical Study Report).

#### 3.1.2. Phase 2 BCG-Naïve CIS (QUILT-2.005)

While efficacy data collection is ongoing, preliminary response data for patients with CIS is shown in Appendix 5. The preliminary evaluation was an unplanned interim analysis in response to FDA's request during review of ImmunityBio's Preliminary Breakthrough Therapy Designation Advice submission (IND 121,976, sequence 0035, dated 25 March 2019) and does not include papillary data as time to recurrence analyses have not yet been conducted.

In this phase, 85% of assessable patients with CIS treated in the combination arm have a CR compared to 57% in the BCG arm at month 6. At every time point of evaluation (6, 9, 12, 15, 18, 21, and 24 months), the CR rate in the CIS population of assessable patients is markedly higher in the N-803 plus BCG combination arm compared to BCG alone. Moreover, in addition to the increased response rate seen at each time point, the durability of response is longer when N-803 is administered in combination with BCG.

The preliminary data in the BCG-naïve CIS population provides supporting evidence that N-803 enhances the immune activity of local BCG, thereby increasing overall tumor clearance.



# Complete Response Rate and Durability of Response in Evaluable Patients with CIS BCG Naïve NMIBC Receiving ANKTIVA + BCG

These data provide evidence that the addition of N-803 to BCG increases initial CR rate and the durability of response for BCG-naïve patients with CIS. Furthermore, treatment was well tolerated by all patients in the phase 1b study, and well tolerated and balanced between treatments arms in the phase 2.

Time point	Evaluable Patients (Phase 1b & 2)	Complete Response Rate	95% Confidence Interval
At any time	n = 22	86% (19 / 22)	65%, 97%
6 Months <sup>a</sup>	n = 22	82% (18 / 22)	60%, 95%
12 Months	n = 19	63% (12 / 19)	38%, 84%

### Table 4: Response Data in NMIBC Patients with BCG-Naïve CIS (N-803 + BCG Arm)

<sup>a</sup> Patients who had an absent or inconclusive assessment and had a CR at 3 or 9 months are assigned CR at 6 months.

Data Submitted to the FDA at Their Request of Interim Analysis of BCG Naïve Subjects, Demonstrating Statistical Significant Difference in Duration of Response by 9 Months for ANKTIVA + BCG versus BCG Alone

#### APPENDIX 5. QUILT-2.005 PHASE 2 PRELIMINARY EFFICACY DATA PATIENTS WITH BCG-NAÏVE CIS WITH OR WITHOUT PAPILLARY DISEASE

Time point	Arm	Subjects with a CR	Evaluable Subjects	% of all Evaluable Subjects	BCG Naïve	Population	
At any time	N-803+BCG	17	20	85	85% CR	61% CR	
	BCG	14	23	61	At Any Time	At Any Time	
6 months	N-803+BCG	17	20	85	BCG	BCG	
	BCG	13	23	57	+ V	S Alone	
9 months	N-803+BCG	16	19	84	N-803		
	BCG	11	21	52			
12 months	N-803+BCG	10	17	59			🔰 🕇 P Value
Ī	BCG	9	19	47	Trained BC	CG Memory	0.0455
15 months	N-803+BCG	9	17	53	Contribution of Effect of N-803	+	
	BCG	7	17	41	NK, T Cell	MHC Loss BCG Relapse	
18 months	N-803+BCG	8	16	50	Memory T Cell		
	BCG	5	15	33	18 Month: 50% DoR	18 Month: 33% DoR	
21 months	N-803+BCG	8	16	50			
	BCG	4	14	29	21 Month: 50% DoR	21 Month: 29% DoR	
24 months	N-803+BCG	7	16	44			
ľ	BCG	4	14	29	24 Month: 44% DoR	24 Month: 29% DoR	

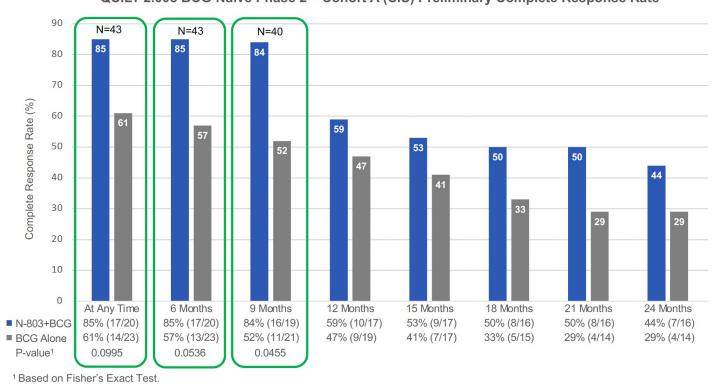
Table 11:	QUILT 2.005 BCG-Naïve Phase 2 Preliminary Efficacy D	ata
-----------	--	-----

Contribution of Effect of N-803

### Randomized Control Data Demonstrating the Clinical Meaningful Benefit and Contribution of Effect of ANKTIVA + BCG in BCG Naïve CIS and Papillary NMIBC

- The safety analysis of both QUILT 2.005 and QUILT 3.032 demonstrated no serious adverse events that were not consistent with those seen with BCG alone
- Complete response rate of 85% with BCG and ANKTIVA versus 61% at any time
- Duration of response statistically significant at 9 months at 84% versus 52%
- Continued trend of long duration of response at 12, 15, 18, 21 and 24 months when ANKTIVA is combined with BCG

Efficacy Results in CIS (QUILT-2.005) Phase 2 (Unplanned Interim Analysis, as Requested by the Agency) Improvement of CR Rate Over Time and Contribution of Effect of N-803 Inducing Memory T Cells



QUILT-2.005 BCG-Naïve Phase 2 – Cohort A (CIS) Preliminary Complete Response Rate

## I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease

### Table of Contents

- I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease
- II. Mechanism of Action of ANKTIVA, Activating and Proliferating Natural Killer Cells and Interferon Gamma Which Rescues T Cells in BCG Relapsed NMIBC and Checkpoint Failures: Revealing How NK Cells and Interferon Rescue and Restore Cold Tumors to Hot and Re-Activates Killer and Memory T Cells for All Tumors
- III. QUILT-2.005: Phase I Complete Response Data in CIS and Papillary BCG Naïve Disease
- IV. QUILT-205: Duration of Complete Response ≥8 Years in Phase I (Patient Follow-up)
- V. QUILT-2.005: Randomized Control Pivotal Trial of BCG versus BCG + ANKTIVA in BCG Naïve NMIBC CIS & Papillary: FDA Request Interim Analysis
- VI. QUILT-3.032: BCG Unresponsive NMIBC Confirming Mechanism of Action of ANKTIVA with Durable Complete Response
- VII. Safety of ANKTIVA + BCG Consistent with Adverse Events of BCG Alone

# **ANKTIVA Label**

HIGHLIGHTS OF PRESCRIBING INFORMATION These highlights do not include all the information needed to use ANKTIVA safely and effectively. See full prescribing information for ANKTIVA.

ANKTIVA® (nogapendekin alfa inbakicept-pmln) solution, for intravesical use Initial U.S. Approval: 2024

------INDICATIONS AND USAGE------ANKTIVA is an interleukin-15 (IL-15) receptor agonist indicated with Bacillus Calmette-Guérin (BCG) for the treatment of adult patients with BCGunresponsive nonmuscle invasive bladder cancer (NMIBC) with carcinoma in situ (CIS) with or without papillary tumors. (1)

-----DOSAGE AND ADMINISTRATION------For Intravesical Use Only

- For induction: 400 mcg administered intravesically with BCG once a week for 6 weeks. A second induction course may be administered if complete response is not achieved at month 3. (2.1)
- For maintenance: 400 mcg administered intravesically with BCG once a week for 3 weeks at months 4, 7, 10, 13 and 19. For patients with an ongoing complete response at month 25 and later, additional maintenance instillations with BCG may be administered once a week for 3 weeks at months 25, 31, and 37. (2.1)
- Instill intravesically only after dilution. Total time from vial puncture to the completion of the intravesical instillation should not exceed 2 hours. (2.2) See full Prescribing Information for dilution and administration instructions.

-----CONTRAINDICATIONS------

None (4)

#### ------WARNINGS AND PRECAUTIONS------

• Delaying cystectomy can lead to the development of metastatic bladder cancer, which can be lethal. (5.1)

#### -----ADVERSE REACTIONS------

The most common ( $\geq 15\%$ ) adverse reactions, including laboratory test abnormalities, are increased creatinine, dysuria, hematuria, urinary frequency, micturition urgency, urinary tract infection, increased potassium, musculoskeletal pain, chills and pyrexia. (6.1)

To report SUSPECTED ADVERSE REACTIONS, contact Altor BioScience, LLC, an indirect wholly-owned subsidiary of ImmunityBio, Inc. at toll-free phone 877-265-8482 or FDA at 1-800-FDA-1088 or www.fda.gov/medwatch.

#### ------USE IN SPECIFIC POPULATIONS------

• Pregnancy: May cause fetal harm. Advise females of reproductive potential of the potential risk to a fetus and to use effective contraception. (8.1, 8.3)

#### See 17 for PATIENT COUNSELING INFORMATION

Revised: 4/2024

# **Recommended Duration of Treatment: 37 Months**

### **2 DOSAGE AND ADMINISTRATION**

### 2.1 Recommended Dosage

For Intravesical Use Only. Do NOT administer by subcutaneous or intravenous or intramuscular routes.

- For induction: ANKTIVA is recommended at a dose of 400 mcg administered intravesically with BCG once a week for 6 weeks. A second induction course may be administered if complete response is not achieved at month 3.
- For maintenance: After BCG and ANKTIVA induction therapy, ANKTIVA is recommended at a dose of 400 mcg administered intravesically with BCG once a week for 3 weeks at months 4, 7, 10, 13 and 19 (for a total of 15 doses). For patients with an ongoing complete response at month 25 and later, maintenance instillations with BCG may be administered once a week for 3 weeks at months 25, 31, and 37 for a maximum of 9 additional instillations.

The recommended duration of treatment is until disease persistence after second induction, disease recurrence or progression, unacceptable toxicity, or a maximum of 37 months.

# No Change in Urology Workflow

# 2.2 Preparation and Administration <u>Preparation of Agent</u> See BCG Prescribing Information for information on preparation and handling of BCG.

✓ One Day Delivery
 ✓ 24 Month Shelf Life
 ✓ No Special Freezers
 ✓ No Special Cleaning Agents
 ✓ No Change in BCG Workflow
 ✓ Same Order Flow as BCG

## ANKTIVA Mechanism of Action Activation and Proliferation of NK, CD4+, CD8+ Killer & Memory T Cells, Without Proliferation of Immuno-Suppressive T Regulatory Cells

### **12 CLINICAL PHARMACOLOGY**

### 12.1 Mechanism of Action

Nogapendekin alfa inbakicept-pmln is an IL-15 receptor agonist. IL-15 signals through a heterotrimeric receptor that is composed of the common gamma chain ( $\gamma c$ ) subunit, the beta chain ( $\beta c$ ) subunit, and the IL-15-specific alpha subunit, IL-15 receptor  $\alpha$ . IL-15 is *trans*-presented by the IL-15 receptor  $\alpha$  to the shared IL-2/IL-15 receptor ( $\beta c$  and  $\gamma c$ ) on the surface of CD4<sup>+</sup> and CD8<sup>+</sup> T cells and NK cells.

Binding of nogapendekin alfa inbakicept-pmln to its receptor results in proliferation and activation of NK, CD8<sup>+</sup>, and memory T cells without proliferation of immuno-suppressive Treg cells. In vivo, intravesicular nogapendekin alfa inbakicept-pmln alone or in combination with BCG showed anti-tumor activity when compared to BCG alone, in a carcinogen-induced model of bladder cancer in immunocompetent rats.

# ANKTIVA Efficacy Results with Duration of Response 47+ Months and Ongoing

### Table 3: Efficacy Results in QUILT-3.032

	ANKTIVA with BCG
	(n=77)
Complete Response Rate (95% CI)	62% (51, 73)
Duration of Response <sup>a</sup>	
Range in months	0.0, 47.0+
% (n) with duration $\geq 12$ months	58% (28)
% (n) with duration $\geq$ 24 months	40% (19)

+ Denotes ongoing response

<sup>a</sup> Based on 48 patients that achieved a complete response at any time; reflects period from the time complete response was achieved.

## I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease

### Table of Contents

- I. Confirmation of the Contribution of Effect of ANKTIVA by a Randomized Control Trial Comparing BCG Alone Versus BCG + ANKTIVA in BCG Naïve NMIBC CIS and Papillary Disease
- II. Mechanism of Action of ANKTIVA, Activating and Proliferating Natural Killer Cells and Interferon Gamma Which Rescues T Cells in BCG Relapsed NMIBC and Checkpoint Failures: Revealing How NK Cells and Interferon Rescue and Restore Cold Tumors to Hot and Re-Activates Killer and Memory T Cells for All Tumors
- III. QUILT-2.005: Phase I Complete Response Data in CIS and Papillary BCG Naïve Disease
- IV. QUILT-205: Duration of Complete Response ≥8 Years in Phase I (Patient Follow-up)
- V. QUILT-2.005: Randomized Control Pivotal Trial of BCG versus BCG + ANKTIVA in BCG Naïve NMIBC CIS & Papillary: FDA Request Interim Analysis
- VI. QUILT-3.032: BCG Unresponsive NMIBC Confirming Mechanism of Action of ANKTIVA with Durable Complete Response
- VII. Safety of ANKTIVA + BCG Consistent with Adverse Events of BCG Alone

# Safety Comparable to BCG Alone

Table 1 summarizes the adverse reactions in Cohort A of QUILT-3.032.

Advenue Decetion	ANKTIVA with BCG (n=88)				
Adverse Reaction	All Grades %	Grades 3 or 4 %			
Dysuria	32	0			
Hematuria <sup>1</sup>	32	3.4			
Urinary Frequency	27	0			
Micturition Urgency <sup>1</sup>	25	0			
Urinary Tract Infection <sup>1</sup>	24	2.3			
Musculoskeletal Pain <sup>1</sup>	17	2.3			
Chills	15	0			
Pyrexia	15	0			

### Table 1Adverse Reactions Occurring in ≥15% of Patients in Cohort A in QUILT-3.032

<sup>1</sup> Includes other related terms

Clinically relevant adverse reactions in <15% of patients who received ANKTIVA with BCG included fatigue (14%), nausea (14%), bladder irritation (11%), diarrhea (9%), and nocturia (7%).